

Express Mail Number: EV 283609203 US
Docket Number: 29171.00

PTO/SB/01A (06-03)
Approved for use through 07/31/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention Intravascular Infusion Site Anti-Tamper Guard Having Means For Site Inspection

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☒ The attached application, or
☐ Application No. _____ filed on _____
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Rosa Lee ELDRIDGE

Signature:  Citizen of: USA

Inventor two: David M. JONES

Signature:  Citizen of: USA

Inventor three: _____

Signature: _____ Citizen of: _____

Inventor four: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number

Filing Date

First Named Inventor

ELDRIDGE, et al.

Title

Intravascular Infusion Site Anti-Tamper ...

Art Unit

Examiner Name

Attorney Docket Number

29171.00

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Alba Innovations, Inc., Rosa Lee Eldridge, Vice President and CFO

Signature

Rosa Lee Eldridge

Date

2/18/03

Telephone

276-445-4982

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ *Total of 1 forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Alba Innovations, Inc.

Application No./Patent No.: _____ Filed/issue Date: _____

Entitled: Intravascular Infusion Site Anti-Tamper Guard Having Means For Site Inspection

Alba Innovations, Inc., a corporation of Tennessee

(Name of Assignee)

(Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

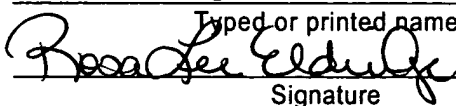
1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/18/03
Date
276-445-4982
Telephone number

Rosa Lee Eldridge
Typed or printed name

Signature

Vice President and CFO

Title

ASSIGNMENT

I, the below-identified Inventor, for good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to

Alba Innovations, Inc., a corporation of Tennessee, domiciled in Knox, TN

(hereinafter "Assignee") , its successors, assigns, and legal representatives the entire right, title and interest throughout the world in and to all subject matter invented by me and disclosed in the application for a Letters Patent in the United States executed by me on the date hereinafter indicated entitled:

Intravascular Infusion Site Anti-Tamper Guard Having Means For Site Inspection

and in and to all patent and all foreign, convention and treaty rights of all kinds, in all countries throughout the world, for all such subject matter or improvements therein. I agree to sign all papers necessary to secure all said patent rights, and request issuance of all said patents to Assignee in accordance with this assignment.

7-18-03
Date

Rosa Lee Eldridge
Inventor
Rosa Lee ELDRIDGE
RR 1, Box 358
Rose Hill, VA 24281
Lee County, VA

IN THE COUNTY OF <u>Knox</u>)	
STATE OF <u>Tennessee</u>) ss.:	
I hereby certify that before me personally appeared <u>Rosa Lee ELDRIDGE</u> , personally known by me, who then and there was duly sworn by me, and under oath acknowledged that the foregoing instrument was duly signed, sealed and delivered by <u>Rosa Lee ELDRIDGE</u> on the date appearing at the foot thereof, all of which took place within my jurisdiction.	
<u>Nancy Jean Smith</u> NOTARY PUBLIC	My Commission Expires: <u>July 18</u> , <u>2003</u> <u>April 1</u> , <u>2004</u>

ASSIGNMENT

I, the below-identified Inventor, for good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to


Alba Innovations, Inc., a corporation of Tennessee, domiciled in Knoxville, TN

(hereinafter "Assignee"), its successors, assigns, and legal representatives the entire right, title and interest throughout the world in and to all subject matter invented by me and disclosed in the application for a Letters Patent in the United States executed by me on the date hereinafter indicated entitled:

Intravascular Infusion Site Anti-Tamper Guard Having Means For Site Inspection

and in and to all patent and all foreign, convention and treaty rights of all kinds, in all countries throughout the world, for all such subject matter or improvements therein. I agree to sign all papers necessary to secure all said patent rights, and request issuance of all said patents to Assignee in accordance with this assignment.

7/18/03
Date


Inventor
David M. JONES
5833 Wooded Acres Drive
Knoxville, TN 37921
Knox County, TN

IN THE COUNTY OF <u>Knox</u>)) ss.:)
STATE OF <u>Tennessee</u>)	
I hereby certify that before me personally appeared <u>David M. JONES</u> , personally known by me, who then and there was duly sworn by me, and under oath acknowledged that the foregoing instrument was duly signed, sealed and delivered by <u>David M. JONES</u> on the date appearing at the foot thereof, all of which took place within my jurisdiction.		
<u>Nancy Jean Smith</u> NOTARY PUBLIC		<u>July 18</u> , <u>2003</u> My Commission Expires: <u>April 1</u> , <u>2006</u>

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Application Number

Filing Date

First Named Inventor

ELDRIDGE, et al.

Title

Intravascular Infusion Site Anti-Tamper ...

Art Unit

Examiner Name

Attorney Docket Number

29171.00

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I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Rosa Lee ELDRIDGE

Signature

Rosa Lee Eldridge

Date

7/18/03

Telephone

276-445-4982

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 2 forms are submitted.

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First Named Inventor	ELDRIDGE, et al.
Title	Intravascular Infusion Site Anti-Tamper ...
Art Unit	
Examiner Name	
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I am the:

☒ Applicant/Inventor.

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

David M. JONES

Signature

Date

7/18/05

Telephone

(865) 357-4982

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ELDRIDGE, Rosa L., et al.)

Filed: Herewith)

For: Intravascular Infusion)
Site Anti-Tamper Guard)
Having Means For)
Site Inspection)

**DECLARATION OF ROSA LEE ELDRIDGE IN
SUPPORT OF PETITION TO MAKE SPECIAL**

Honorable Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

I, Rosa Lee Eldridge, a citizen of the United States of America, residing at RR1, Box 358, Rose Hill, Virginia, 24281, submit the enclosed statement explaining how the subject invention contributes to the treatment of HIV/AIDS or cancer. I request that my above-referenced patent application be accorded special examination status based upon the subject invention providing for improved treatment of HIV/AIDS or cancer, especially for the very young and aged patients that I provide care for in my practice in the field of nursing.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 7/18/03

Rosa L. Eldridge
Rosa L. Eldridge, L.P.N.

Enclosure: Statement of 2 pgs.